

Political Science 357  
The Diffusion on Democracy  
Spring 2006  
Mr. Grey

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By the spring of 2006, the worldwide spread of democracy seems inexorable. In Latin America, military dictatorships have been replaced almost everywhere by elected civilian leaders. The same phenomenon, albeit less widespread, seems at work in Africa. Fifteen years ago, communist rule collapsed in Eastern Europe, and, in August 1991, it lost its sway in the Soviet Union itself. Communist rule remains in modified form in parts of the former Soviet Union. In a somewhat "purer" form it persists in North Korea, China, Cuba, Laos and Vietnam.

Yet it is too easy to assume the triumph of democracy. Serious questions remain as to how democratic these new systems are. Moreover, it must be remembered that an earlier wave of apparent world democratization after World War I was followed by the replacement of elected civilian governments by military dictatorships in both Latin America and Central and Eastern Europe. In Pakistan several years ago a military coup overthrew the democratically elected government. In Algeria, military leaders cancelled elections which would have brought to power Islamic parties. Leaders of many of the new states formed out of the USSR have postponed presidential elections indefinitely. We may merely be at the democratic phase of what is a cyclical phenomenon.

There is a strong tendency for democratic governance and economic prosperity to co-vary. Since it is clear that the new democracies of both the third world and the former communist world face severe economic difficulties, the stability of their new political systems is open to question. Furthermore, many of these new democracies are ethnically and/or religiously pluralistic, and that, too, seems to be an obstacle to democratic stability. Some scholars insist, as well, on cultural requirements for successful democratization.

Among the central foci of this class will be: debating the strengths and weaknesses of various definitions of democracy, and

assessing the conditions which promote or, conversely, create difficulties for, both the emergence of democracy and its consolidation. We will look at the processes which have brought autocracies to democracy. President Bush, in his 2005 inaugural address, committed the United States to promoting the democratization of the world. We will examine the impact outsiders in general and America in particular can have on the introduction, consolidation, or enhancement of quality of democracy.

## **Books to Purchase**

- Thomas Carothers and Marina Ottaway, Uncharted Journey: Promoting Democracy in the Middle East Carnegie Endowment for International Peace, 2005
- Larry Diamond, Developing Democracy Toward Consolidation, Johns Hopkins University Press, 1999
- Renske Doorenspleet, Democratic Transitions: Exploring the Structural Sources of the Fourth Wave, Lynne Reinner,
- Jean Grugel, Democratization: a critical introduction, Palgrave, 2002
- Samuel P. Huntington, The Third Wave: Democratization in the Late Twentieth Century, University of Oklahoma Press, 1991

## **Additional Required Readings**

- George W. Bush, "2005 Inaugural Address,"  
<http://www.washingtonpost.com/wp-dyn/articles/A23747-2005Jan20.html>
- Thomas Carothers, "The End of the Transitions Paradigm," Journal of Democracy, 13:1, Jan. 2002, pp. 5-21,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- David Collier and Steven Levitsky, "Research Note: Democracy with Adjectives: Conceptual Innovation in Comparative Research," World Politics, 49:3, April 1997, pp. 430-51,  
[http://muse.jhu.edu/journals/world\\_politics/v049/49.3collier.html](http://muse.jhu.edu/journals/world_politics/v049/49.3collier.html)
- Larry Diamond, "Is the Third Wave Over?" Journal of Democracy, 7:3, July 1996, pp. 20-37,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)

- Larry Diamond, "Thinking about Hybrid Regimes," Journal of Democracy, 13:2, April 2002, pp. 21-35,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Larry Diamond and Leonardo Morlino, "The Quality of Democracy: an overview," Journal of Democracy, 15:4, Oct. 2004, pp. 20-31,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Freedom House, "Freedom in the World 2004: Survey Methodology,"  
<http://www.freedomhouse.org/research/freeworld/2004/methodology.htm>
- Morton H. Halperin & Kristen Lomasney, "Guaranteeing Democracy: A Review of the Record," Journal of Democracy, 9:2, April, 1998, 134-147  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Samuel P. Huntington, "Democracy for the Long Haul," Journal of Democracy, 7:2, April 1996, pp. 3-13,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Juan Linz, "Toward Consolidated Democracies," Journal of Democracy, 7:2, April 1996, pp.14-33  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Arend Lijphart, "Constitutional Design for Divided Societies," Journal of Democracy, 15:2, April 2004, pp. 96-109,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Michael McFaul, "The Fourth Wave of Democracy and Dictatorship: Noncooperative Transitions in the Postcommunist World," World Politics 54:2, Jan. 2002, pp. 212-44,  
[http://muse.jhu.edu/journals/world\\_politics/v054/54.2mcfaul.html](http://muse.jhu.edu/journals/world_politics/v054/54.2mcfaul.html)
- Marc F. Plattner, "A Skeptical Afterward," Journal of Democracy, 15:4, Oct. 2004, 106-110,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)

- Adam Przeworski, Michael Alvarez, Jose Antonio Cheibub and Fernando Limongi, "What Makes Democracies Endure?" Journal of Democracy, 7:1, 1996, pp. 39-55  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/v007/7.1przeworski.html](http://muse.jhu.edu/journals/journal_of_democracy/v007/7.1przeworski.html)
- Andreas Schedler, "What is Democratic Consolidation," Journal of Democracy, 9:2, April, 1998, 91-107  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Philippe Schmitter, "Clarifying Consolidation," Journal of Democracy, 8:2, April 1997, pp. 168-74,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Phillippe Schmitter and Terry Lynn Karl, "What Democracy Is...and Is Not," Journal of Democracy, 2:3, Summer 1991, pp. 75-88,  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)
- Matthew Shugart, "Parliaments and Presidents," Journal of Democracy, 6:2, April 1995, 168-72  
[http://muse.jhu.edu/journals/journal\\_of\\_democracy/#journal\\_of\\_democracy](http://muse.jhu.edu/journals/journal_of_democracy/#journal_of_democracy)

## **Writing Assignments**

There will be a take-home mid-sem, due Mar. 16, a take-home final, due the day our in-class exam would have been conducted, and a term paper, due two weeks after major class presentations.

## **Class Presentations/Participation**

Each class after the first two will consist of student presentations on the assigned readings. A student will be assigned to each reading. The student will present strengths and weaknesses of the assigned piece. After the presentation, there will be class discussion of the reading. I will provide an e-mail response to each performance after class.

## **Grading**

The final exam and term paper will each count thirty per cent. The mid-sem will count twenty per cent. Thus, presentations and participation will count a significant twenty per cent.

## **Reading Assignments**

Jan. 23 - Introduction: Democracy in the Late 20th Century

### **Democracy: Competing Definitions**

Jan. 25 - Schmitter and Karl; Grugel, Introduction and Ch. 1, Freedom House; Diamond, Ch. 1

Jan. 30 - Huntington, pp. 5-12; Doorenspleet, Ch. 2; Collier and Levitsky

### **History of Democratization**

Feb. 1 - Grugel, Ch. 2; Huntington, pp. 3-4, 13-30

### **Theories of Its Spread: Preconditions**

Feb. 6 - Grugel, pp. 46-56; Huntington, Ch. 2

Feb. 8 - Doorenspleet, Chs. 1 and 3

Feb. 13 - Doorenspleet, Chs. 4-5

Feb. 15 - Doorenspleet, Chs. 6-9

Feb. 20 - Diamond, Ch. 5

### **Theories of Its Spread: Processes**

Feb. 22 - Grugel, pp. 56-68; Huntington, Chs. 3 and 4; McFaul

### **Obstacles to Democratization: Internally Weak States, Finlandized States, Large States, Ethnic Heterogeneity, and Islam**

Feb. 27 - Student Presentations

### **Consolidating Democracy**

Mar. 1 - Schedler; Linz; Huntington, "Democracy for the Long Haul," Schmitter; Przeworski et al

Mar. 6 - Carothers, "End of...Paradigm;" Diamond, "Hybrid Regimes;" Diamond, "Is the Third Wave Over?" Plattner

Mar. 8 - Diamond and Morlino; Diamond, Chs. 3-4

Mar.13 – Diamond, Chs. 6-7  
Mar.15 – **Mid-sem Exams Due**

## **Fall Break**

## **Case Studies**

Apr. 3 – Grugel, Chs. 7 and 8  
Apr. 5 – Grugel, Chs. 9 and 10

**Determinants of Non-Democratic Transitions: State Collapse, Reserved Domains, Military Coups, Economic Failures, Non-optimal Institutions, and Corruption**

Apr. 10- Student Presentations

## **Democratic Institutions**

Apr. 12 - Grugel, Ch. 4; Shugart;  
Apr. 17 – Lijphart; Mainwaring

## **Promoting Democracy**

Apr. 19 – President Bush’s 2005 Inaugural Address; Grugel, Ch. 6  
Apr. 24 – Carothers and Ottaway, Introduction and Part 1  
Apr. 26 – Carothers and Ottaway, Part 2  
May 1 – Carothers and Ottaway, Part 3; Halperin & Lomasney

## **The Future of Democracy**

May 3 - Grugel, Conclusion;  
May 8 – Huntington, Chs. 5-6  
May 10 – Catch-up  
May 13 – Catch-up